

10/594589

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |  | ATTORNEY'S DOCKET NUMBER<br>0353-0211PUS1              |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP2005/006724   | INTERNATIONAL FILING DATE<br>30 March 2005 | U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br>NEW |
| TITLE OF INVENTION<br>MONOMETHINE DYE COMPOUND, OPTICAL INFORMATION RECORDING MEDIUM UTILIZING THE<br>COMPOUND AND PROCESS FOR PRODUCING THE SAME  |  | PRIORITY DATE CLAIMED<br>30 March 2004                 |
| APPLICANT(S) FOR DO/EO/US<br>Daisuke MORISHITA; Isao OKITSU; Mamoru UCHIDA; Takuo KODAIRA; Hiroshi HIRATSUKA; Hiroaki HORIUCHI;<br>and Maiko FUKITA  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <p style="padding-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p style="padding-left: 20px;">b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p style="padding-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="padding-left: 20px;">a. <input checked="" type="checkbox"/> is attached hereto.</p> <p style="padding-left: 20px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="padding-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="padding-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="padding-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="padding-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> |  |  |

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| previously communicated to the US by the IB.....  |              |   |            | 3400                   |          |
| All other situations.....   |              |   |            | \$500                  |          |
| <b>TOTAL OF 21, 22 and 23 =</b>   |              |   |            | \$                     | 900.00   |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |   |            |                        |          |
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| 56 - 100 =  | /50 =        |   | x \$250.00 | \$                     |          |
| Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |              |   |            | \$                     |          |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA  | RATE       |                        |          |
| Total claims  | 23 - 20 =    | 3   | x 50.00    | 150.00                 |          |
| Independent claims  | 4 - 3 =      | 1   | x 200.00   | 200.00                 |          |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   | + 360.00   | 360.00                 |          |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |            | \$                     | 1,610.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |              |   |            |                        |          |
| <b>SUBTOTAL =</b>   |              |   |            | \$                     | 1,610.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |              |   |            | \$                     |          |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |            | \$                     | 1,610.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   |            | \$                     | 40.00    |
|   |              |   |            | \$                     |          |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |            | \$                     | 1,650.00 |
|   |              |   |            | Amount to be refunded: | \$       |
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**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

September 28, 2006

CUSTOMER NUMBER: 02292

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SIGNATURE

Marc S. Weiner  
NAME

32,181  
REGISTRATION NUMBER